

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

73004-001

In Re Application Of:

George Knoll

Serial No.

Filing Date

herewith

Examiner

Group Art Unit

Title:

*Fluid Filter Apparatus and Method*

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Information Disclosure Statement – PTO 1449 (Modified)

Sheet 1 of 1

<b>INFORMATION DISCLOSURES STATEMENT BY APPLICANT</b> (use as many sheets as necessary)								Docket Number (Optional) 73004-001		Application Number				
								Applicant George Knoll						
								Filing Date herewith		Group Art Unit				
<b>U.S. PATENT DOCUMENTS</b>														
EXAMINER INITIAL	REF	DOCUMENT NUMBER							ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	D 321,394							11/05/1991	Petrucci et al.				
	AB	D 322,836							12/31/1991	Petrucci et al.				
	AC	D 433,094							10/31/2000	Magnusson et al.				
	AD	D 455,814 S							04/16/2002	Magnusson et al.				
	AE	D 472,299 S							03/25/2003	Fritze				
	AF	D 472,604 S							04/01/2003	Fritze				
	AG	3	7	4	6	1	7	1	07/17/1973	Thomsen				
	AH	3	7	5	8	0	8	0	09/11/1973	MacManus				
	AI	3	7	0	0	2	1	4	10/24/1972	MacManus				
	AJ	3	8	1	0	4	1	5	05/14/1974	MacManus				
	AK	4	6	5	4	1	4	2	03/31/1987	Thomsen et al.				
	AL	4	7	3	5	7	1	6	04/05/1988	Petrucci et al.				
	AM	4	8	7	7	5	2	1	10/31/1989	Petrucci et al.				
	AN	4	9	1	5	8	3	1	04/10/1990	Taylor				
	AO	4	9	4	8	5	0	5	08/14/1990	Petrucci et al.				
	AP	5	3	3	6	4	0	6	08/09/1994	Stanford et al.				
	AQ	5	4	8	6	2	8	8	01/23/1996	Stanford et al.				
	AR	5	5	1	0	0	6	0	04/23/1996	Knoll				
	AS	5	5	9	1	3	3	2	01/07/1997	Reid et al.				
	AT	5	7	2	5	6	2	1	03/10/1998	Pruette et al.				
	AU	5	7	4	4	0	3	0	04/28/1998	Reid et al.				
	AV	5	7	5	3	1	0	7	05/19/1998	Magnusson et al.				
	AW	6	0	2	7	6	4	4	02/22/2000	Magnusson et al.				
	AX	6	1	9	3	8	8	4	02/27/2001	Magnusson et al.				
<b>FOREIGN PATENT DOCUMENTS</b>														
	REF	DOCUMENT NUMBER							ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)														
EXAMINER								DATE CONSIDERED						

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Mail Stop Comments – Patents, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.